

ACCOUNT OPENING FORM (CORPORATE)

*PLEASE FILL ALL FIELDS



COMPANY INFORMATION

Date

Company/Business Name

Date of Registration

R-C Number

Affix
Passport
Photograph
here

Contact Person (s)

(Surname) (First Name) (Middle Name)

(Surname) (First Name) (Middle Name)

(Surname) (First Name) (Middle Name)

COMPANY DETAILS

Nature of Business

Industry

Employer Size

Annual Revenue (Please tick applicable box)

Below ₦1M ₦10M – ₦100M ₦100M – ₦1B ₦1B – ₦10B ₦10B – ₦100B ₦100B & Above

COMPANY CONTACT DETAILS

Street

City

State

Post code/ Zip Code

Country

COMPANY BANKING DETAILS

Bank

Account name

Account no.

Branch

Sort code

FOR OFFICIAL USE ONLY	
DOCUMENT	RECIEVED
Completed Form	
Passport Photographs(s) of Directors	
Identification (Certificate of Incorporation, Particulars of Directors (Form CAC 7), Memorandum & Articles of Association)	
Proof of address (Notice of Address (Form CAC 3))	

I hereby declare that the details furnished above are true and correct to the best of my knowledge, information and belief and I undertake to inform FSL Asset Management Limited of any changes therein, immediately. In the event that any of the above information is found to be false or untrue or misleading or misrepresented, I am aware that I may be held liable for it. I hereby consent to FSL Asset Management Limited sharing any of the information furnished in this form as it deems appropriate and as may be required by regulatory authorities.

Chief Contact signature

Account officer

Signature

Compliance Officer

Signature

NOTE: WE DO NOT ACCEPT CASH

MANDATE CARD

Signature Specimen

Affix
Passport
Photograph
Here of contact
person

Name of Signatory

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(Surname) (First Name) (Middle Name)

Email Address

--

Class of Signature

--

Telephone Number

--

Mobile Number

--

Signature Specimen

Affix
Passport
Photograph
Here of contact
person

Name of Signatory

--	--	--

(Surname) (First Name) (Middle Name)

Email Address

--

Class of Signature

--

Telephone Number

--

Mobile Number

--

Signature Specimen

Affix
Passport
Photograph
Here of contact
person

Name of Signatory

--	--	--

(Surname) (First Name) (Middle Name)

Email Address

--

Class of Signature

--

Telephone Number

--

Mobile Number

--

AUTHORISED COMBINATION

DEALING MANDATE

I/We, the undersigned (hereinafter referred to as "the Client") hereby request and authorise you, FSL Asset Management Limited (hereinafter referred to as "FSL AML"), to effect Transactions, on the Client's behalf on the following terms and conditions:

1. FSL AML is hereby authorised to purchase and/or sell securities for the client's account only on the Client's prior instructions. Such instructions shall, accordingly, be obtained from the Client in respect of each purchase and/or sale transaction (or series of transactions) effected by FSL AML. FSL AML is also authorised and empowered to perform such acts as are actually necessary to give effect to these instructions.
2. I/We understand that Diamond Bank Pension Fund Custodian ("DPFC") will act as our Custodian for the safekeeping of cash and securities. Accordingly, FSL AML shall not maintain custody of my/our cash or securities. All cash payments by me/us for the purpose of effecting transactions shall be made directly to the Custodial Account
3. I/We hereby take full responsibility for any loss occasioned by paying cash directly through account officers without any recourse to FSL AML.
4. In effecting transactions on the Client's behalf:
 - 4.1. FSL AML shall procure the immediate payment by the Custodian to the Client or to the Clients' order, all cash proceeds received by the Custodian in respect of, or arising from, the execution of the Client's instructions in immediately available freely transferable funds.
 - 4.2. FSL AML and/or the Custodian shall be entitled to charge the client for expenses incurred in the process of executing transactions for the Client. FSL AML and/or the Custodian shall be entitled to debit such applicable charges from the Client's account prior to remitting cash to the Client.
 - 4.3. FSL AML is hereby authorized to have a personal interest in any transaction for the purchase and/or sale of the Client's securities, which personal interest may conflict with those of the Client. Unless requested by the Client in writing, FSL AML is not required to specifically disclose such interest to the Client prior to entering into such transactions.
 - 4.4. FSL AML is hereby requested and authorised at its sole discretion to provide any or all services, and to enter into and/or effect any and all transactions, via such methods of communication, including electronic means as FSL AML shall select at its sole discretion on such terms and subject to such conditions as FSL AML shall specify from time to time.
5. I/We hereby agree that FSL AML shall be assisted in the discharge of its anti-money laundering responsibilities by me/us providing such information and evidence as FSL AML may request from time to time in this regard.
6. I/We agree that FSL AML shall have no responsibility for and shall be indemnified by me/us in full on demand against any loss, damage or other liability suffered or incurred by FSL AML in connection with any instruction or request from us, believed by FSL AML in good faith to have been given in accordance with this Mandate.
7. This Mandate shall only be cancelled by notice in writing or delivered by hand or sent by registered post by one party to the other party to the applicable address and such cancellation shall only become effective when the other party has received such notice.
8. In this Mandate the expression "Transaction" means and includes:
 - 8.1. any purchase and sale of or other dealing in commercial or government securities, bonds, certificates of deposit, equities and other securities on my/our behalf; and
 - 8.2. such other transactions effected on my/our behalf, or entered into with me/us.

9. FSL AML reserves the right to review this dealing mandate from time to time to reflect market realities
10. This Mandate shall be governed by Nigerian law.

I have read this Mandate or have had my solicitor review it and fully understand and acknowledge the terms herein contained.

ACCOUNT AUTHORISATION

TRANSACTIONS AUTHORISATION REQUIREMENTS: Please tick any of the following to confirm our authorisation for transactions on the account.

- By telephone
- By email
- By written authorisation
- Others, please specify

Please note that if no instruction restrictions are specified, FSL AML may, at its sole discretion, accept and act on any instruction or the purported instructions issued by you however given or originated including fax, telex, or any electronic system used by FSL AML from time to time. FSL AML may also, at its sole discretion, require at any time instructions from you.

DEED OF INDEMNITY – FOR ELECTRONIC INSTRUCTIONS

This deed of indemnity is made in favour of FSL AML, which expression shall where the context so permits include its successors-in-title and assigns).
WHEREAS:

1. The Client has opened an investment account(s) with FSL AML, and desires to issue investment instructions and other transaction documents to FSL AML, as may be required from time to time.
2. The Client hereby requests and FSL AML hereby agrees to act upon internet, electronic mails and scanned copies of documentation for banking facilities/transactions with FSL AML and for any instruction in respect of the account(s) and its operations as if same were originals and/or hard copies provided that the documentation and instructions are issued in line with the Client's mandate with FSL AML.

IT IS HEREBY AGREED AS FOLLOWS:

In consideration of FSL AML agreeing to accept internet, electronic mails and scanned copies of documentation for banking facilities and any instruction in respect of my investment account(s) and its operations:

I hereby irrevocably and unconditionally agree that all fax, internet, electronic mails and scanned copies of any documentation and/or instructions issued by me in respect of my account(s) or dealings with FSL AML shall be binding and enforceable against me. I further agree to fully indemnify FSL AML against any proceedings, claims, expenses and liabilities whatsoever which may be taken or made against or incurred by FSL AML by reason of FSL AML accepting the fax, internet, electronic mails, scanned copies of the documentation and/or instructions.

I further confirm that FSL AML may set-off any such expenses/cost incurred by it in respect of my obligations herein against any of my investment account(s) with FSL AML.

I agree to the above terms and conditions

Name: _____

Signature: _____

Date: _____